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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/836,104	04/17/2001	Yu-chun Chow	DEE-PT017	5930
34036	7590	12/29/2005	EXAMINER	
SILICON VALLEY PATENT GROUP LLP 2350 MISSION COLLEGE BOULEVARD SUITE 360 SANTA CLARA, CA 95054			GREY, CHRISTOPHER P	
			ART UNIT	PAPER NUMBER
			2667	

DATE MAILED: 12/29/2005

Please find below and/or attached an Office communication concerning this application or proceeding.

<b>Office Action Summary</b>	<b>Application No.</b>	<b>Applicant(s)</b>	
	09/836,104	CHOW, YU-CHUN	
	<b>Examiner</b>	<b>Art Unit</b>	
	Christopher P Grey	2667	

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

#### Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

#### Status

- 1) ☒ Responsive to communication(s) filed on 09 November, 2005.
- 2a) ☐ This action is **FINAL**.                      2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

#### Disposition of Claims

- 4) ☒ Claim(s) 1-7 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☐ Claim(s) 1-7 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_\_ is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

#### Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on 17 April 2001 is/are: a) ☐ accepted or b) ☒ objected to by the Examiner.  
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

#### Priority under 35 U.S.C. § 119

- 12) ☒ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All    b) ☐ Some \*    c) ☐ None of:
1. ☒ Certified copies of the priority documents have been received.
2. ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

\* See the attached detailed Office action for a list of the certified copies not received.

#### Attachment(s)

- |   |   |
|---|---|
| 1) <input checked="" type="checkbox"/> Notice of References Cited (PTO-892)             | 4) <input type="checkbox"/> Interview Summary (PTO-413)                     |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948)    | Paper No(s)/Mail Date. _____  |
| 3) <input type="checkbox"/> Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08) | 5) <input type="checkbox"/> Notice of Informal Patent Application (PTO-152) |
| Paper No(s)/Mail Date _____   | 6) <input type="checkbox"/> Other: _____                                    |

## DETAILED ACTION

### *Claim Rejections - 35 USC § 103*

The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

1. Claims 1-7 are rejected under 35 U.S.C. 103(a) as being unpatentable over Konishi et al. (U.S Patent No. 5854792) in view of Zhang (US 6108345) in further view of Merchant et al. (Patent No. 6658015).

Regarding claim 1, Konishi et al. (U.S Patent No. 5854792) teaches a plurality of input/output ports for connecting said two networks (see elements 5a, 5b and 5n in fig 3)

a buffer device for accessing packets(see element 17a-n in Fig 8), wherein a transporting path of said packets is selected from one of sending said packets from said WAN to said LAN and sending said packets from said Network to said Network (disclosed in Col 8 lines 53-57),

a memory device(see element 9 in fig 3) electrically connected to said buffer device for storing said packets sent from said buffer device.

Although inherent, Konisha does not specifically disclose connecting a WAN to a LAN and does not teach a plurality of medium access control units corresponding to said input/output ports. Zhang discloses a device for connecting networks such as a

LAN and WAN (Col 5 lines 30-61), where this device comprises the components as disclosed in Konisha's invention.

Zhang also discloses a plurality of medium access control units corresponding to said input/output ports (Col 16 lines 27-67)

However, Konishi et al. (U.S Patent No. 5854792) does not teach a plurality of medium access control units electrically connected between said buffer device and said input/output ports for performing an accessing operation between said buffer device and said input/output ports.

Konishi et al. (U.S Patent No. 5854792) also does not teach a central processing unit electrically connected between said memory device and said medium access control units for processing said packets stored in said memory device, and organizing said medium access control units to change said input/output ports according to a required transporting path , thereby performing said communication between said LAN and said WAN.

The secondary reference Merchant et al. (Patent No. 6658015) teaches a plurality of medium access control units(see element 20 in Fig 1) corresponding to said input/output ports and, electrically connected between said buffer device and said input/output ports for performing an accessing operation between said buffer device and said input/output ports, as disclosed in Col 5 lines 6-15.

The secondary reference Merchant et al. (Patent No. 6658015) also teaches a central processing unit (see element 32 in Fig 1) electrically connected between said memory device and said medium access control units for processing said packets

stored in said memory device, and organizing said medium access control units to change said input/output ports according to a required transporting path , thereby performing said communication between said LAN and said WAN, as disclosed in Col 10 lines1-5.

It would have been obvious to one of the ordinary skill in the art at the time of the invention to modify the ports within the network connection apparatus as disclosed by Konisha, with the connection of a MAC module, CPU and internal rules checker as disclosed by Merchant. The motivation for this modification is to be able to receive and transmit data frames to the appropriate destinations (Col 1 lines 22-27), support data networks requiring a high data throughput (Col 1 lines 65-67), and to allow multiple frames to be processed simultaneously (Col 2 lines 5-9).

Regarding claim 2, the primary reference Konishi et al. (U.S Patent No. 5854792) teaches all of the limitations as mentioned above. However, the primary reference does not teach the gateway apparatus according to claim 1, wherein said buffer device comprises: a buffer for temporally storing said packets, and a buffer manager electrically connected to said buffer for managing an accessing operation of said buffer device.

The secondary reference Merchant et al. (Patent No. 6658015) teaches a gateway apparatus, wherein said buffer device comprises: a buffer for temporally storing said

packets and a buffer manager electrically connected to said buffer for managing an accessing operation of said buffer device, as disclosed in Col 5 lines 5-15.

Regarding claim 3, the primary reference Konishi et al. (U.S Patent No. 5854792) teaches all of the limitations as mentioned above. However, the primary reference does not teach the gateway apparatus according to claim 1, wherein said memory device comprises:

a memory for storing said packets sent from said buffer device and a memory controller electrically connected to said memory for controlling an accessing operation of said memory.

The secondary reference Merchant et al. (Patent No. 6658015) teaches a gateway apparatus, wherein said memory device comprises:

a memory for storing said packets (see element 44 in Fig 2) sent from said buffer device and a memory controller (see element 80 in Fig 3a) electrically connected to said memory for controlling an accessing operation of said memory, as disclosed in Col 6 lines 52-63.

Regarding claim 4, the primary reference Konishi et al. (U.S Patent No. 5854792) teaches all of the limitations as mentioned above. However, the primary reference does not teach the gateway apparatus according to claim 3, wherein said memory is a dynamic random accessing memory DRAM.

The secondary reference Merchant et al. (Patent No. 6658015) teaches a gateway apparatus, wherein said memory is a dynamic random accessing memory DRAM (see element 44 in Fig 2), as disclosed in Col 4 lines 35-45, where the limitations for an SRAM are assumed to be equivalent for that of a DRAM.

Regarding claim 5, the primary reference Konishi et al. (U.S Patent No. 5854792) teaches all of the limitations as mentioned above. However, the primary reference does not teach the gateway apparatus according to claim 3, further comprising:  
an internal bus electrically connected to said memory controller for transporting said packets  
a bus interface controller electrically connected between said buffer device and said internal bus for controlling a transporting operation in said internal bus so as to complete a packet transporting operation between said buffer device and said internal bus.

The secondary reference Merchant et al. (Patent No. 6658015) teaches a gateway apparatus, comprising:

an internal bus (see element 69 in Fig 2) electrically connected to said memory controller for transporting said packets (disclosed in Col 6 line 64- Col 7 line15)  
a bus interface controller (see element 40 in Fig 2) electrically connected between said buffer device and said internal bus for controlling a transporting operation in said

internal bus so as to complete a packet transporting operation between said buffer device and said internal bus as disclosed in Col 5 lines 16-40.

Regarding claim 6, the primary reference Konishi et al. (U.S Patent No. 5854792) teaches all of the limitations as mentioned above. However, the primary reference does not teach the gateway apparatus according to claim 1, wherein said buffer device, said medium access control units and said central processing unit are disposed in one identical chip.

The secondary reference Merchant et al. (Patent No. 6658015) teaches a gateway apparatus, wherein said buffer device, said medium access control units (see element 12a in Fig 1) and said central processing unit (see element 32 in fig 1) are disposed in one identical chip.

Regarding claim 7, the primary reference Konishi et al. (U.S Patent No. 5854792) teaches all of the limitations as mentioned above. However, the primary reference does not teach the gateway apparatus according to claim 1, wherein said central processing unit is used for processing said packets stored in said memory device to achieve functions of a router and a firewall.

The secondary reference Merchant et al. (Patent No. 6658015) teaches a gateway apparatus, wherein said central processing unit is used for processing said packets stored in said memory device to achieve functions of a router and a firewall, as disclosed in Col 4 lines 52-59.



It would have been obvious for one skilled in the art at the time to combine the ideas of Konishi et al. (U.S Patent No. 5854792) and Merchant et al. (Patent No. 6658015) in order to achieve a general purpose network connection apparatus capable of increased data throughput, performing high speed data transmission and enhancing the reliability of transmission.

### ***Response to Arguments***

2. Applicant's arguments filed on November 9, 2005 have been fully considered but they are not persuasive.

(a) The applicant argued that the cited art does not disclose a LAN to WAN connection.

The examiner still maintains the inherency of the previous response, however uses an additional reference in Zhang to depict the using a gateway or bridge device to connect LAN's to WAN's is nothing new within the art.

(b) The applicant argued that the cited art does not disclose , "changing input/output ports according to a required transporting path".

The examiner maintains the this limitation is equivalent to determining a route using route control, where a route involves determining the port with which to route data as argued in the previous office action.

(c) The applicant argued that using an engine to make a frame forwarding decision based on the respective data is not equivalent to processing packets.

The examiner maintains that any function performed on the given data is considered processing, where in this case a frame forwarding decision is made on the data.


3. Any inquiry concerning this communication or earlier communications from the examiner should be directed to Christopher P. Grey whose telephone number is (571)272-3160. The examiner can normally be reached on 6:30-3:00.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Chi Pham can be reached on (571)272-3179. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

Christopher Grey  
Examiner  
Art Unit 2667

C. Grey  
12/27/05

  
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SUPERVISORY PATENT EXAMINER  
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